SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>NESTEGARD SUSAN K</u>				2. Issuer Name and Ticker or Trading Symbol <u>ALLETE INC</u> [ALE]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 30 WEST SUI	(First) PERIOR STREE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 06/01/2020						Officer (give title below)		(specify		
(Ctract)	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicabl Line)						
(Street) DULUTH	MN	55802							X	Form filed by On Form filed by Mo Person				
(City)	(State)	(Zip)								T CISON				
	-	Table I - Non-I	Derivative S	ecurities Acq	uired,	Dis	posed of, c	or Ben	eficially	v Owned				
Date		. Transaction Pate Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dispos Code (Instr. 5)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

						(U)		(IIISU. 5 aliu 4)		
Common Stock	06/01/2020		Α		1,740.92	Α	\$ <mark>0</mark>	4,815.56 ⁽¹⁾	D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number 6. Date Exercisable and Derivative (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes shares acquired in exempt transactions pursuant to the dividend equivalent feature of stock awards deferred under the ALLETE non-employee director deferral plan, based on plan information available as of May 29, 2020.

Remarks:

Ingrid K. Johnson for Susan K. Nestegard

06/02/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.